RESOLUTION 13-14

RESOLUTION APPROVING PROPOSED AMENDMENTS TO REGULATIONS

WHEREAS, the California Housing Finance Agency (the "Agency") through its Board
of Directors (the "Board") is authorized to adopt and, where appropriate, to amend or repeal
regulations; and

WHEREAS, the Board has determined that the proposed amendments to certain Agency
regulations, as attached hereto, are necessary and appropriate for adoption by the Agency,

NOW, THEREFORE, BE IT RESOLVED by the Board of Directors as follows:

1. The attached amendments to the Agency’s regulations, incorporated herein by
reference, concerning Title 25, Division 2, Chapter 1, Article 1, § 10001 of the California Code
of Regulations (the Conflict-of-Interest Code of the Agency) are hereby approved.

2. The staff is directed to give public notice, conduct any required public hearing and
take such other action as may be necessary or proper for the adoption by the Agency of such
amended regulations including submission of such amendments to the Fair Political Practices
Commission and the Office of Administrative Law. The staff is authorized to make non-
material revisions to these amendments, without further Board approval, as may be appropriate
in the course of promulgating these amendments.

I hereby certify that this is a true and correct copy of Resolution 13-14 adopted at a duly
constituted meeting of the Board of Directors of the Agency held on September 12, 2013, at
Sacramento, California.

ATTEST: [Signature]
Secretary
§10001. General Provisions.

The Political Reform Act, Government Code sections 81000, et seq., requires state and local government agencies to adopt and promulgate Conflict-of-Interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations section 18730, which contains the terms of a standard Conflict-of-Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 California Code of Regulations section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached Appendix Appendices in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict-of-Interest Code of the California Housing Finance Agency (the Agency).

Designated employees shall file statements of economic interests with the Agency, which will make the statements available for public inspection and reproduction. (Govt. Code Section 81008). Upon receipt of the statements of Board Members and the Executive Director, the Agency shall make and retain a copy and forward the original of these statements to the Fair Political Practices Commission. Statements for all other designated employees will be retained by the Agency.

Appendices

Conflict-of-interest Code of the California Housing Finance Agency

Appendix A

Designated Assigned
Employees Disclosure Category

Persons holding the following positions
and/or the following classifications are
"designated employees":

Board of Directors
Board Member (All members of the Board other than the State Treasurer) .................. 1, 2, 3, 4

Executive
Executive Director .......................................................... 1, 2, 3, 4
Chief Deputy Director ..................................................... 1, 2, 3, 4
Director of Legislation and California Mortgage Assistance Corporation ..................... 1, 2, 3, 4
Special Assistant to Director ........................................... 1, 2, 3, 4
Information Security Officer ............................................. 2

Administration
Director of Administration .............................................. 1, 2, 3, 4
Staff Services Manager (all classes and all levels) ..................................................... 1, 2, 3, 4

Information Technology
Chief Information Officer .................................................. 2, 4
Systems Software Specialist III (Supervisory) ......................................................... 2, 4
Senior Programmer Analyst (Supervisor) .................................................................. 4
Data Processing Manager II (Information Security Officer) ........................................ 4
Staff Associate Information Systems Analyst (Specialist) (Technical Support & Procurement Administration) ...................................................... 3, 4

Marketing
Director of Marketing .......................................................... 4, 2, 4

Legal
General Counsel ................................................................ 1, 2, 3, 4
Assistant Chief Counsel ....................................................... 1, 2, 3, 4
Staff Counsel Attorney (all classes and all levels) .................................................... 1, 2, 3, 4

Financing
Director of Financing .......................................................... 1, 2, 4
Risk Manager .................................................................... 1, 2, 4
Housing Finance Chief (all classes) ........................................................................ 1, 2
Accounting Administrator III ................................................................................. 1, 2, 4
Financing Officer ........................................................................ 1, 2, 4
Financing Specialist ........................................................................ 1, 2, 4
Fiscal Services
Comptroller ......................................................... 1, 2, 4
Deputy Comptroller .............................................. 4, 2, 4
Accounting Administrator III .................................. 1, 2
Accounting Administrator II .................................... 3, 4
Housing Finance Officer (all classes) ......................... 1, 2

Programs Administrator ........................................ 1, 2, 4

Single Family Division
CEA (all levels) .................................................. 1, 2, 4
Housing Finance Chief (all classes) .......................... 1, 2, 4
Housing Finance Officer (all classes) ....................... 1, 2, 4
Housing Finance Specialist (all classes) – Portfolio Management Section; REO
   Disposition Unit ............................................. 1, 2, 4
Housing Finance Specialist (all classes) – Portfolio Management Section; Servicer
   Administration Unit; Servicer Audits, Report Cards, Delinquent Analysis ........... 1, 2, 4

Homeownership
Director of Homeownership .................................... 1, 2
Deputy Director .................................................. 1, 2
Housing Finance Chief (all classes) .......................... 1, 2
Housing Finance Officer (all classes) ....................... 1, 2

Multifamily Programs
Director of Multifamily Programs ............................ 1, 2
Deputy Program Director ...................................... 1, 2
Housing Finance Chief (all classes) .......................... 1, 2, 4
Supervising Design Officer ................................... 1, 2
Senior Design Officer ........................................... 1, 2, 4
Senior Housing Construction Inspector ....................... 1, 2, 4
Housing Finance Officer (all classes) ....................... 1, 2, 4
Chief, Special Lending Programs (CEA II) .................... 1, 2
Staff Services Manager (all classes and all levels) ....... 1, 2, 4

Asset Management
Deputy Director of Asset Management ....................... 1, 2
Housing Finance Chief (all classes) .......................... 1, 2, 4
Housing Finance Officer (all classes) ....................... 1, 2, 4

Mortgage Insurance Services
Director of Mortgage Insurance .............................. 1, 2
Deputy Director .................................................. 1, 2
Housing Finance Chief (all classes) .......................... 1, 2
Housing Finance Officer (all classes) ....................... 1, 2
Housing Finance Specialist (all classes) .................... 1, 2

Consultants
Consultant ....................................................... 1, 2, 3, 4
With respect to Consultants, the General Counsel of the Agency may determine in writing that a particular consultant, although a "designated employee," is hired to perform a range of duties that is limited in scope and thus is not required to comply with the disclosure requirements described in this section. Such determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. A copy of the written determination is a public record and shall be retained and made available for public inspection in the same manner and location as this Conflict-of-Interest Code. Nothing herein excuses any such consultant from any other provision of this Conflict-of-Interest Code.

Appendix B
Disclosure Categories

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Disclosure Categories

Category 1 – Interests in Real Property

Designated employees in Category 1 must report:
All interests in real property in the State of California.

Category 2 – Full-Disclosure (excluding interests in real property) Interests in Housing Sponsors, Contractors, and Professional Service Providers

Designated employees in Category 2 must report:
All investments, business positions and sources of income, including gifts, loans and travel payments, from sources that:

(A) Are any person or entity which is defined by part I, chapter 2, of the Zenovich-Moscone-Chacon Housing and Home Finance Act (chapter 2 commences at section 50050 of the California Health and Safety Code) to be any of the following:

(1) “housing sponsor”

(2) “limited-dividend housing sponsor”

(3) “qualified mortgage lender”; or,

(B) Are any person or entity that:

(1) contracts or otherwise does business with the Agency, or

(2) has contracted or otherwise done business with the Agency within the previous two years

(3) is soliciting a contract or other business from the Agency, and which is any of the following: or,

(C) Are any of the following:

(1) financial-services-company (1) California Housing Finance Agency (CalHFA bonds)
(2) financial services company

(2) (3) bank, including commercial bank, mortgage bank, thrift, credit union, or similar lender

(3) (4) investment bank

(4) (5) real estate services company

(5) (6) brokerage company

(6) (7) insurance company

(7) (8) title company

(8) (9) escrow company

(9) (10) building or construction contractor or subcontractor, or,

(10) sources that are the type that, within the previous two years, have contracted with the Agency to provide leased facilities, supplies, materials, machinery, equipment, or services, including training and consulting services, to the Agency, or have otherwise done business with the Agency

(11) sources that manufacture, distribute, supply, or install computer hardware or software of the type utilized by the Agency, as well as entities providing computer consultant services to the Agency

(12) (11) law firm that represents persons or entities described in Category 2A in matters directly related to their status as described in Category-2A. Categories 2, 3 or 4.

(13) law firm that represents persons or entities described in Category 2B in matters directly related to their status as described in Category-2B.

Category 3 – General Procurement Interests in Facilities Contractors

Designated employees in Category 3 must report:
All investments and business positions in business entities, and income, including gifts, loans, and travel payments, from sources that are of the type that, within the previous two years, have contracted with the Agency to provide leased facilities, supplies, materials, machinery, equipment, or services, including training and consulting services, to the Agency, or have otherwise done business with the Agency.

Category 4 – Interests in Information Technology Sector

Designated employees in Category 4 must report:
All investments and business positions in business entities, and income, including gifts, loans, and travel payments, from sources that manufacture, distribute, supply, or install computer hardware or software of the type utilized by the Agency, as well as entities providing computer consultant services to the Agency.